Citadel Conference Grant Program Terms and Conditions

These program terms ("terms") govern your application to a Citadel or Citadel Securities' (collectively, "Citadel") conference grant program (each, a "Grant"). By applying for a Grant, you agree to these terms. If any of these terms are not complied with, Grant benefits may be forfeited in Citadel's sole discretion.

What Is the Grant?

Citadel is offering a Grant program to cover the cost for selected students to travel to and attend certain industry conferences, with each Grant opportunity described in more detail on the Grant program webpage ("**Program Webpage**"). Depending on the specific conference, Citadel may cover the cost of conference registration, airfare, accommodations, ground transportation and meals while attending the conference.

Who is eligible?

Eligibility for each Grant is set forth on the Program Webpage. The Program Webpage details eligible conferences, Grant recipient eligibility, selection process, Grant application start and end dates and times, and specific Grant benefits for each conference. As a condition of participation, each participant agrees to provide Citadel with any and all requested documentation or other proof of eligibility within the specified timeframe. Citadel reserves the right to exclude from consideration at any time any applicant or potential Grant recipient who does not meet the eligibility criteria described in this section or has otherwise violated these terms, even if they have been offered a Grant.

As a condition of applying, you hereby agree to read, complete, agree to, and sign any additional documentation that, among other things, provides information for tax and legal compliance purposes, provides Citadel with detail required to book conference attendance, accommodations and travel, and confirms the requirements and conditions for receipt of a Grant. If you are selected to receive a Grant, Citadel will share your information with its travel agency to book your travel and, if applicable, with the conference to book travel and complete your conference registration.

What is the application and selection process?

Application deadlines and the Grant selection process are set forth on the Program Webpage. Applicants must complete and submit an application through the Program Webpage in accordance with the timing and instructions set forth on the Program Webpage. Application must include all required information, including information about you, a resume, representative work experience, relevant publications/conference submissions, personal information such as first and last name and email address ("Applicant Content"). Your Applicant Content must be truthful and accurate and you must provide all required information or your application will not be considered.

You are solely responsible for complying with all applicable federal, state, provincial, and local laws, rules, or regulations in connection with your application for a Grant and any resulting conference attendance. Proof of application (such as a screenshot of your application) does not constitute proof of actual receipt. Applications will not be returned. Keep a copy of each element of your application. Applications that are incomplete, illegible, corrupted, damaged, destroyed, altered, false, lost, late, misdirected, garbled or otherwise not in compliance with these terms will not be accepted and will be void.

Applicants will be selected to receive a Grant based upon each applicant's academic performance, qualifications, publication record and any previous awards, with preference given to applicants with strong academic or professional backgrounds in fields relevant to Citadel's work. For applications for Grants to attend conferences that publish papers (such as ICML and NeurIPS), preference will be given to applicants who have submitted at least one paper to that conference. The Program Webpage may include additional evaluation criteria.

Once application evaluation is completed, a Citadel representative will attempt to notify potential Grant recipients via one or more of the contact methods provided in the potential recipient's application in accordance with the timing on the Program Webpage. Potential Grant recipients will be required to respond to Citadel's notifications, provide required information and complete required paperwork in accordance with Citadel's instructions and stated deadlines to remain eligible for the Grant.

What are the Grant benefits?

Grant benefits are described on the Program Webpage. Stipends are taxable and may be subject to tax withholdings. Any

taxes (federal, state and local) associated with the Grant benefits and any costs not covered by the Grant benefits will be the sole responsibility of the Grant recipient. Grant benefits are subject to these terms and any specifics not set forth in these terms will be determined in Citadel's sole discretion. The manner in which Grant benefits are provided will be determined by Citadel. Grant recipients are expected to attend all scheduled conference activities in person. It is each Grant recipient's sole responsibility to comply with all travel requirements, which may include, without limitation, presenting a valid passport, visa and/or other necessary identification (including photograph) at the time of travel. As a condition of receiving a Grant, all Grant recipients agree to maintain their behavior in accordance with all applicable laws and generally accepted social practices in connection with participation in any conference or Citadel-related activity. Each Grant recipient understands and agrees that conference organizers, in the case of conference activities, and Citadel, in the case of any Citadel-organized activity, have the right, in their sole discretion, to remove a Grant recipient at any time from an event or activity if the individual's behavior at any point is uncooperative, disruptive, or may or does cause damage to person, property, or the reputation of conference organizers or Citadel.

General Rules

By applying for a Grant or receiving Grant benefits (except where prohibited by law), you grant Citadel the irrevocable, sublicensable, perpetual right and permission to use, publish, post or display your name, Applicant Content, likeness, biographical and education information, any quotes attributable to you, any other indicia of persona, for purposes of administering and promoting the Grant without further obligation or compensation of any kind to you, anywhere, in any medium now known or hereafter discovered or devised, worldwide and you release Citadel and anyone authorized by Citadel from any and all liability related thereto. Nothing contained in these terms obligates Citadel to make use of any of these rights and you waive any right to inspect or approve any such use.

You agree to not damage or cause interruption of the Grant application process and/or prevent others from applying for a Grant. Citadel reserves the right to restrict or void participation from any IP address, email address or domain, device, or other designator or identifiable source if Citadel suspects any fraudulent or harmful participation. If Citadel determines at any time in its sole discretion that a Grant recipient is disqualified, ineligible, or in violation of these terms, Citadel may select an alternate Grant recipient. Citadel reserves the right, in its sole discretion, to cancel, modify or suspend the Grant program for any reason.

In the event of a discrepancy between these terms and the Program Webpage, the Program Webpage will govern. In the event there is any other alleged or actual ambiguity, discrepancy, or inconsistency between disclosures or statements contained in any Grant-related materials and these terms (including any alleged discrepancy or inconsistency within these terms), it will be resolved by Citadel. Citadel's failure to or decision not to enforce any provision in these terms will not constitute a waiver of that or any other provision.

Limitation of Liability, Release and Disclaimers

Employees, officers, directors, members, managers, agents, and representatives of Citadel (collectively, "Released Parties") are not responsible and/or liable for any of the following, whether caused by a Released Party, an applicant or Grant recipient, or by human error (except to the extent that any of the following occur for reasons within Citadel's reasonable control, if applicable law in your jurisdiction of residence dictates that liability to the injured party in such a case cannot be excluded by law): any lost, late, incomplete, illegible, incomprehensible, mutilated, or misdirected email, mail, or Grant- related correspondence or materials; any error, omission, interruption, defect, or delay in transmission or communication; viruses or technical or mechanical malfunctions; interrupted or unavailable telephonic, cellular, cable, or satellite systems; errors, typos or misprints in these terms, in any Grant-related advertisements or other materials; failures of electronic equipment, computer hardware or software; lost or unavailable network connections or any failed, incomplete, inaccurate, garbled or delayed electronic communications; technical or human error which may occur in the administration of the Grant or the processing of applications; or any injury or damage to persons or property which may be caused, directly or indirectly, from participation in the Grant program or related conference travel and attendance. Released Parties are not responsible for electronic communications that are undeliverable as a result of any form of active or passive filtering of any kind, or for insufficient space in a person's email account or voicemail inbox to receive email or voice messages. Released Parties are not responsible, and may disqualify an individual, if any contact information is inaccurate or outdated. Without limiting any other provision in these terms, the Released Parties are not responsible or liable to any individual for any inability to proceed with the Grant at any stage or failure to provide a Grant benefit or any part thereof, in the event that any of Citadel's operations or activities are affected, as determined by Citadel, by any cause or event beyond the sole and reasonable control of Citadel.

By applying for a Grant and/or receiving Grant benefits, to the fullest extent permitted by applicable law, you agree

to release, hold harmless and indemnify the Released Parties from any claims or liability whatsoever for injuries or damages of any kind sustained in connection with the Grant or the acceptance, possession, use, misuse, delivery, or receipt of any Grant benefits, or while preparing for, participating in, or traveling to or from the conference and any conference-related activity including, without limitation, any injury, damage, death, loss, or accident to or of person or property, for loss of profits, business interruption, loss of business information or data, loss of privacy, failure to meet any duty or negligence.

Privacy

By applying for a Grant, Citadel will process your data, including to process your Grant application, include you in future recruiting efforts, and otherwise in accordance with Citadel's <u>Privacy Policy</u>. If selected to receive a Grant, you authorize us to share your information with our travel agency and the conference (if applicable) to fulfill the Grant.

Disputes/Governing Law

These terms, the Grant application and selection process, receipt of any Grant benefits, and any disputes related thereto, will be subject to confidential mandatory mediation and, if unsuccessful, confidential binding arbitration in Miami, Florida, both under the AAA rules. These terms will be governed by and interpreted in accordance with the Federal Arbitration Act. To the extent that state law is applicable, the parties agree that they will be interpreted, enforced and/or governed according to the laws of the State of Florida (without regard to conflict of law principles).